

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: )  
 ) **Group Art Unit:** 2872  
SAMUEL F. PELLICORI )  
 ) **Examiner:** Arnel C. Lavarias  
**Serial No.:** Filed Herewith )  
 )  
**Filed:** Filed Herewith )  
 )  
**For: MULTI-LAYER THIN FILM** )  
**OPTICAL FILTER ARRANGEMENT** )

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in the Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449. Applicant respectfully requests that a copy of the Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

The items identified in the IDS may or may not be "material" pursuant to CFR § 1.56. The submission thereof by Applicant is not be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR §1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicant as such.

In accordance with 37 CFR 1.97(g), the filing of the Information Disclosure Statement shall not be construed to mean that a search has been made or the no other material information, as defined in 37 CFR 1.56, exists.

The patents, publication and other information disclosed in the attached PTO Form 1449 were previously cited by or submitted to the Office in one of the following applications which this application relies for an earlier filing date under 35 U.S.C. § 120: U.S. Patent Application Serial No. 09/771,444, filed January 25, 2001, now pending. Accordingly, pursuant to 37 CFR § 1.98(d), copies of the previously cited or submitted patents, publications, and other information listed in the attached PTO Form 1449 are not attached.

- ☐ Appropriate relation back information is included in the preliminary amendment or specification.

**INFORMATION DISCLOSURE STATEMENT FILING PROVISION:**

☒ This IDS is believe to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR § 1.491; or (3) before the mailing of a first Office Action on the merits; or (4) before the mailing of a first Office Action after filing a request for continued examination under § 1.114. Thus, no fee is required.

☒ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

☐ However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

☐ This IDS is being submitted under 37 CFR § 1.97(c), that is after mailing of a first Office action on the merits, but before a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311.

☐ The fee due under 37 CFR § 1.17(p) is submitted herewith.

☐ A statement under 37 CFR § 1.97(e) is included below, thus no fee is required. In the event that this IDS is not received for a Final Action or a Notice of Allowance, then Applicant respectfully requests that the Office consider the filing of these papers to be submitted under 37 CFR § 1.97(d) and charge the fee due under 37 CFR § 1.17(p) to the deposit account below.

☐ This IDS is being submitted under 37 CFR § 1.97(d), that is after a Final Action under 37 CFR § 1.113 or a Notice of Allowance under 37 CFR § 1.311, but before payment of the issue fee. A statement under 37 CFR § 1.97(e) is included below. The fee due under 37 CFR § 1.17(p) is submitted herewith.

**STATEMENT UNDER 37 CFR § 1.97(e):**

☐ Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ No item contained in the IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this statement after making reasonable inquiry, no item of information contained in this IDS was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this IDS.

**PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:**

☐ A check in the amount of \_\_\_\_\_ is enclosed for the above fee(s).

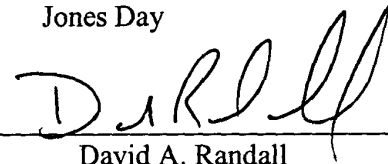
☐ Please charge \_\_\_\_\_ to Deposit Account No. 50-2468 for the above fee(s).

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Jones Day's Deposit Account No. 50-2468.

Respectfully submitted,  
Jones Day

Dated: June 23, 2003

By: \_\_\_\_\_



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<b>FORM PTO-1449</b> EL 794600314 US  <b>LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT</b>  (Use several sheets if necessary)	<b>ATTY. DOCKET NO.</b> 333768-100004	<b>SERIAL NO.</b> Not Yet Assigned
	<b>APPLICANT:</b> SAMUEL F. PELLICORI, et al.	
	<b>FILING DATE:</b>	<b>GROUP:</b> 2872

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	2,519,545	08/22/1950	Colbert et al.	88	105	02/11/1946
	AB	3,612,692	10/12/1971	Kruppa et al.	356	108	11/21/1968
	AC	3,679,291	07/25/1972	Apfel et al.	350	164	04/21/1970
	AD	4,313,648	02/02/1982	Yano et al.	350	166	04/27/1978
	AE	4,619,504	10/28/1986	Daniels et al.	351	163	09/02/1983
	AF	4,715,702	12/29/1987	Dillon	351	44	10/20/1986
	AG	4,925,705	05/15/1990	Hill	427	259	12/23/1986
	AH	5,149,351	09/22/1992	Yaba et al.	565	60.2	07/22/1991
	AI	5,164,858	11/17/1992	Aguilera, Jr. et al.	359	587	07/11/1991
	AJ	5,337,191	08/09/1994	Austin	359	885	04/13/1993
	AK	5,731,898	03/24/1998	Orzi et al.	359	587	04/06/1995
	AL	5,298,312	03/29/1994	Oyama et al.	428	216	04/03/1992
	AM	6,157,489	12/05/2000	Bradley et al.	359	584	11/24/1998

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AN	EPO 103 217 A2	08/24/83	European Patent Office	G 02 C 11/00		X
	AO	PCT/AU98/00868	10/19/98	WIPO	G 02 C 7/10	G02B 1/10, 1/11	X

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
AP	S.F. Pellicori and H.P. Wurtz, "Optical Crosstalk in Infrared Detector Arrays," Proceedings of SPIE, Volume 124:69 (1977)	
AQ	Optical Thin Films: Users' Handbook, James D. Rancourt, Macmillan Publishing Co., New York, 1987, Chapter 1.	

<b>EXAMINER:</b>	<b>DATE CONSIDERED:</b>
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	

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	<b>APPLICANT:</b> SAMUEL F. PELLICORI, et al.	
	<b>FILING DATE:</b>	<b>GROUP:</b> 2872

	AR	CERAC Coating Material News, S. Pellicori (contributor) Vol. 1, Iss. 4, October – December, 1991.
	AS	CERAC Coating Material News, S. Pellicori (contributor) Vol. 2, Iss. 4, October – December, 1992.
	AT	Patent Abstracts of Japan, Vol. 18, No. 197 (P-1723) (6537) p. 100, dated April 6, 1994.
	AU	CERAC Coating Material News, S. Pellicori (contributor) Vol. 4, Iss. 3, July – September, 1994.
	AV	CERAC Coating Material News, S. Pellicori (contributor) Vol. 6, Iss. 3, July – September, 1996.
	AW	IWFA Flat Glass Education Guide, 1998, section 3, pages 1-10.
	AX	CERAC Coating Material News, S. Pellicori (contributor) Vol. 10, Iss. 3, September, 2000.
	AY	Patent Abstracts of Japan, Vol. 18, No. 197 (p. 1723), (April 6, 1994) (Abstract for JP 06004027A) (Dainippon Printing Co. Ltd.) (January 14, 1994)

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